

# Filtration Model for Suspensions that Form Filter Cakes with Creep Behavior

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Waste-activated sludge was filtered and the formed filter cake studied. It was shown that average specific filter-cake resistance increases during filtration. A stress-relaxation experiment was performed and the two relaxation times found were of the same order of magnitude as the duration of the filtration stage. It was therefore concluded that the local solid volume fraction of sludge filter cakes changes not only with effective pressure but also with time. In contrast, it is usually assumed in filtration modeling that solid volume fraction is a unique function of effective pressure. A new filtration model was thus developed by adopting the concept of filter-cake creep and applying it in conventional models. It was shown that the model developed, unlike conventional filtration models, satisfactorily describes the experimental data in the case of waste-activated sludge. Furthermore, the model is capable of being scaled with respect to feed concentration and volume. © 2007 American Institute of Chemical Engineers AIChE J, 53: 598–609, 2007

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# Introduction

Many industries use filtration for solid–liquid separation in such processes as mineral suspensions, fermentation broths, paper pulps, and sludges. Key objectives of filtration are (1) to produce a high filtrate flux and (2) to develop mathematical filtration models to improve the design and operation of filtration equipment. <sup>1,2</sup>

It is assumed in conventional models that there is a unique relationship between local solid volume fraction and local effective pressure during filtration.<sup>2–6</sup> However, such a unique relationship can be questioned in the case of waste-activated sludge<sup>7–9</sup> and other suspensions containing deformable particles. <sup>10,11</sup> La Heij et al. <sup>7</sup> filtered sewage sludge and observed that solid volume fraction near the filter medium increased with time. The effective pressure remained constant at the filter cake–filter medium interface because the medium resistance was negligible. This result indicates that the solid

volume fraction increased at a constant effective pressure—in other words, the filter cake crept. Creep effects have also been observed during the consolidation stage when filtering sludge. It has been shown that roughly 70% of the consolidation is secondary consolidation<sup>9</sup>; that is, 70% of the compression arises from creep deformation.

Filter-cake creep is also important when the filtration process is rate limited by drainage of the liquid, given that the local specific filter-cake resistance is a function of solid volume fraction. However, only a few filtration models found in the literature actually incorporate the concept of filter-cake creep.

Shirato et al. <sup>12,13</sup> proposed a linear viscoelastic model—the so-called Terzaghi–Voigt combined model—to simulate filter-cake deformation during the consolidation stage (the last part of the filtration process). Consolidation is divided into two stages: *primary consolidation*, dominated by the dissipation of pore water and the collapse of the overall structure of the filter cake, and *secondary consolidation*, dominated by creep effects. Primary consolidation is modeled as a spring element and secondary consolidation as a Voigt element. Later, La Heij et al. <sup>14</sup> used the Terzaghi–Voigt com-

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bined model to model the entire filtration process. The only change made in their approach is that a variable elastic modulus, which increases with solid volume fraction, is used instead of a constant elastic modulus.

Lu et al.10 found that equilibrium porosity is not attained instantaneously if the filter cake contains deformable particles, such as Saccharomyces cerevisiae or Ca-alginate gel particles. In such cases, a viscoelastic model is used to calculate the variation of cake porosity during cake compression. 10

Kamst et al. 15 partly removed the liquid phase from palmoil filter cakes and measured the deformation of the filter cake under constant pressure. Their results indicate that palm-oil filter cakes do creep. A nonlinear constitutive equation was suggested, in which the solid volume fraction is a function of both effective pressure and time, and the equation was used to simulate solid volume fraction distribution throughout the thickness of the filter cake. 15 The model has not been used to model the whole filtration process, but only the consolidation stage.

Finally, Sherwood et al. 16 derived a mathematical model to describe filter-cake creep; their computation predicts a rapid initial compaction of the filter cake, whereas the observed compaction is much slower.<sup>16</sup>

This article presents a new approach to this problem, suggesting a simple filtration model that simulates the filtration and consolidation stages for activated sludge and other materials that form creeping filter cakes.

# **Traditional Cake Filtration Theory**

## Friction drag on particles

In cake filtration, a solid-liquid mixture is forced toward a filter medium, which is permeable to the liquid but impermeable to the solid particles. Thus, the liquid passes through the filter medium while the solid particles are deposited on it, forming a porous filter cake. The liquid must flow through the filter cake, resulting in a frictional drag between the liquid and the solid particles, a drag that decreases the liquid pressure. A force balance for a one-dimensional filter cake is given in Eq. 1, assuming that gravity forces, wall friction, and inertial forces are all negligible:<sup>17</sup>

$$\frac{F_{\rm s}}{A} + p_{\rm L} = P_{\rm app} \tag{1}$$

where  $F_s$  (N) is the accumulated frictional drag on the solid particles between x and L (Figure 1),  $P_{app}$  (Pa) is the applied pressure,  $p_L$  (Pa) is the liquid pressure, and A (m<sup>2</sup>) is the cross-sectional area of the filter cake, perpendicular to the direction of liquid flow. Moreover, effective pressure is defined in the following equation:<sup>17</sup>

$$p_{\rm s} \equiv \frac{F_{\rm s}}{A} \tag{2}$$

It is assumed that the particles are in point contact and the internal stresses on the particles are transmitted throughout the filter cake. 18 Thus, the effective pressure is the sum of the drag, surface, and body forces divided by the cross-sectional

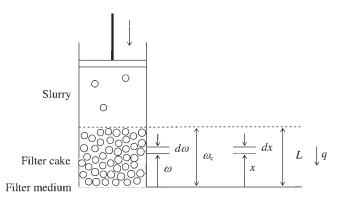


Figure 1. Cake filtration.

area. 18 The effective pressure has also been variously denoted as solid compressive pressure, 19 solid stress, 20 accumulated drag pressure,<sup>21</sup> and particle pressure.<sup>22</sup>

It is now possible to substitute Eq. 2 into Eq. 1 and differentiate with respect to distance x, giving the following expression:

$$\left(\frac{dp_{\rm L}}{dx}\right)_t + \left(\frac{dp_{\rm s}}{dx}\right)_t = 0\tag{3}$$

However, material coordinates  $(\omega)$  are often used instead of space coordinates (x), where  $\omega$  (kg/m<sup>2</sup>) is defined as the mass of dry matter per unit of filter area within distance x of the filter medium, and  $d\omega$  is related to dx, as follows:

$$d\omega = \rho_{\rm s}\phi dx \tag{4a}$$

where  $\rho_s$  (kg/m<sup>3</sup>) is the solid density and  $\phi$  (dimensionless) is the solid volume fraction (the ratio between the volume of solid material and the total volume). Substituting Eq. 4a into Eq. 3 gives

$$\left(\frac{dp_{\rm L}}{d\omega}\right)_t + \left(\frac{dp_{\rm s}}{d\omega}\right)_t = 0 \tag{4b}$$

The liquid pressure gradient in the filter cake can be calculated using the modified Darcy's law:

$$\frac{dp_{\rm L}}{d\omega} = \mu \alpha q \tag{5}$$

where  $\mu$  (Pa·s) is the filtrate viscosity,  $\alpha$  (m/kg) is the local specific filter-cake resistance, and q (m/s) is the superficial velocity of the liquid relative to the solid particle. Furthermore, substituting Eq. 4b into Eq. 5 yields

$$\frac{dp_{\rm s}}{d\omega} = -\mu\alpha q\tag{6}$$

#### Constitutive equations

Local specific filter-cake resistance is a function of solid volume fraction, and expressions have been semitheoretically

derived that describe filter cakes consisting of monodispersed hard spherical particles.<sup>23,24</sup> However, the increase of local specific filter-cake resistance with increasing local solid volume fraction is often underestimated in the case of compressible filter cakes, <sup>25</sup> so alternate constitutive equations have also been used. <sup>17,26</sup>

The effective pressure increases from the top of the filter cake downward to the filter medium as a result of the frictional drag between the liquid and the solid particles.<sup>27-30</sup> Furthermore, compressible filter cakes become compressed when the effective pressure increases, so solid volume fraction is higher near the filter medium than at the top of the cake. 7,30–32 Moreover, solid volume fraction is important when modeling filtrations because the specific filter-cake resistance is a function of solid volume fraction, as described previously. Thus, it is important to know how solid volume fraction depends on effective pressure.

Various constitutive equations describe the equilibrium relationship between solid volume fraction and effective pressure required to compress the filter cake  $(p_c^{eq})^{17,26}$ . It is usually assumed that the pressure needed to compress the filter cake  $(p_c)$  equals  $p_c^{eq}$  because slowly changing conditions prevail during filtration processes. 22,33 Moreover, effective pressure increases with time throughout the filtration process; thus  $p_{\rm s}=p_{\rm c}=p_{\rm c}^{\rm eq}$ . In this case, therefore, effective pressure is a function solely of the solid volume fraction.

## Filtrate volume

Two methods can now be used to calculate filtrate volume. In method I it can be calculated from the average specific filter-cake resistance without taking account of the inhomogeneity in the formed filter cake. In method II it can be calculated by numerically modeling the solid volume fraction and the pressure distribution throughout the thickness of the filter cake. It is necessary to determine the average specific filtercake resistance when using method I and the empirical constants for the constitutive equations, that is,  $\alpha(\phi)$  and  $p_c^{eq}(\phi)$ when using method II. Only method I will be described for the traditional cake filtration theory.

# Average specific filter-cake resistance

The average specific filter-cake resistance  $\alpha_{av}$  is defined in the following equation:

$$\alpha_{\rm av} \equiv \frac{p_{\rm s,\omega=0}}{\int_0^{p_{\rm s,\omega=0}} \alpha^{-1} dp_{\rm s}} \tag{7}$$

where  $p_{s,\omega=0}$  (Pa) is the effective pressure at the filter cakefilter medium interface.

The effective pressure at the filter cake-filter medium interface (that is,  $p_{s,\omega=0}$ ) is constant and equal to the applied pressure if the medium resistance is negligible; thus,  $\alpha_{av}$  is constant during the filtration stage.

Equation 8 is derived by substituting Eq. 7 into Eq. 6 and integrating the equation. The following boundary condition is used:  $p_s = p_{s,\omega=0}$  for  $\omega = 0$  and  $p_s = 0$  for  $\omega = \omega_c$ , where  $\omega_{\rm c}$  (kg/m<sup>2</sup>) is the thickness of the filter cake. Moreover, it is assumed that q is constant throughout the filter cake:

$$q_{\rm f} = \frac{p_{\rm s,\omega=0}}{\mu \alpha_{\rm av} \omega_{\rm c}} \tag{8}$$

where  $q_f$  (m/s) is the filtrate flux.

The filter-cake thickness can be calculated using Eq. 9, which is a mass balance for the solid particles:

$$\omega_{\rm c} = \frac{\phi_{\rm i}}{1 - \phi_{\rm i}} \rho_{\rm s} \left( v_{\rm f} + \frac{\omega_{\rm c}}{\rho_{\rm s}} \frac{1 - \phi_{\rm cake}}{\phi_{\rm cake}} \right) \tag{9}$$

where  $\phi_i$  is the solid volume fraction of the feed suspension,  $\phi_{\rm cake}$  is the average solid volume fraction of the filter cake, and  $v_f$  (m) is filtrate volume per cross-sectional area of the filtration cylinder. The mass of dry matter in the filter cake—the left-hand side of Eq. 9—equals the liquid volume in both the filtrate and filter cake times the mass of dry matter per liquid volume in the feed suspension—the right-hand side of Eq. 9. Equation 9 can be simplified to form

$$\omega_{\rm c} = \frac{\rho_{\rm s}}{\frac{1 - \phi_{\rm i}}{\phi_{\rm i}} - \frac{1 - \phi_{\rm cake}}{\phi_{\rm orbo}}} v_{\rm f} \tag{10}$$

Substituting Eq. 10 into the inverse function of Eq. 8 gives

$$q_{\rm f}^{-1} = S^{-1} v_{\rm f} \tag{11a}$$

where

$$S = \frac{p_{s,\omega=0}}{\mu \alpha_{av} \rho_s} \left( \frac{1 - \phi_i}{\phi_i} - \frac{1 - \phi_{cake}}{\phi_{cake}} \right)$$
(11b)

Furthermore, Eq. 12 can be derived by integrating Eq. 11a with respect to filtration time and setting the lower limit to zero:

$$v_{\rm f} = (2S)^{1/2} t^{1/2} \tag{12}$$

Thus, filtrate volume increases proportionally with the square root of time, S can be found by fitting Eq. 12 to experimental data, and the average specific filter-cake resistance calculated using Eq. 11b.

# **Cake Filtration Theory for Filter Cakes** that Creep

In the traditional filtration theory, it is assumed that  $p_s =$  $p_{\rm c}=p_{\rm c}^{\rm eq}$ . Nevertheless,  $p_{\rm c}>p_{\rm c}^{\rm eq}$  for filter cakes that do not instantaneously reach equilibrium when the effective pressure in the filter cake increases. The extra pressure required to compress the filter cake is denoted  $p_{\text{excess}}$ , such that

$$p_{\rm c} = p_{\rm c}^{\rm eq} + p_{\rm excess} \tag{13}$$

Moreover, it will be assumed that  $p_{\text{excess}}$  increases proportionally with  $p_c^{\text{eq}}$  and decays exponentially with time; thus

$$\frac{dp_{\text{excess}}}{dt} = k \frac{dp_{\text{c}}^{\text{eq}}}{dt} - \frac{p_{\text{excess}}}{\tau}$$
 (14)

where k is a proportionality factor and  $\tau$  (s) is a relaxation time.

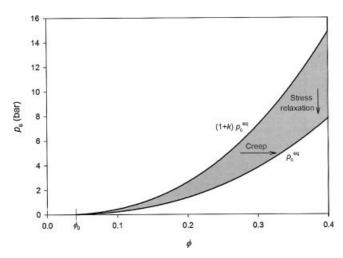


Figure 2. Effective pressure as function of solid volume fraction for filter cakes that creep.

Thus, setting  $p_s = p_c$  and combining Eqs. 13 and 14 give

$$p_{\rm s}^{\rm min} = p_{\rm c}^{\rm eq} \tag{15}$$

and

$$p_s^{\text{max}} = (1+k)p_c^{\text{eq}} \tag{16}$$

where, at a given solid volume fraction,  $p_{\rm s}^{\rm min}$  (Pa) is the minimum effective pressure and  $p_{\rm s}^{\rm max}$  (Pa) is the maximum effective tive pressure. Thus,  $p_s$  is given as a value between  $p_c^s$  and  $(1 + k)p_c^{eq}$ , as shown in Figure 2.

# Average specific filter-cake resistance (with creep)

Solid volume fraction increases with time if the filter cake creeps during the filtration stage. The average specific filtercake resistance is therefore not constant and cannot be calculated using either Eq. 11a or Eq. 12. However, Eq. 17, derived by rearranging Eq. 8 and substituting Eq. 10, can be used instead:

$$\alpha_{\rm av}(t) = \frac{p_{\rm L,oc}(t)}{\mu q_{\rm f}(t) \frac{-\rho_{\rm s}}{\frac{1-\phi_{\rm i}}{\rho} - \frac{1-\phi_{\rm cake}}{\rho}} v_{\rm f}(t)}$$
(17)

Moreover, Eq. 18 can be fitted to the experimental data if the average specific filter-cake resistance increases from a minimum value,  $\alpha_{av}^{min}$ , and asymptotically up to a maximum value,  $\alpha_{av}^{max}$ :

$$\alpha_{\rm av}(t) = \alpha_{\rm av}^{\rm min} + \left(\alpha_{\rm av}^{\rm max} - \alpha_{\rm av}^{\rm min}\right) \left(1 - e^{-t/\tau'}\right) \tag{18}$$

where  $\tau'$  is a time constant. It is then possible to calculate the filtrate volume from Eqs. 11a, 11b, 18, and 19:

$$v_{\rm f} = \int_0^t q_{\rm f} dt \tag{19}$$

Note that  $\alpha_{av}$  is almost constant at low values of  $v_f$ , where the filtration time  $\ll$  the relaxation time  $\tau$ . Thus, the initial value of S can be calculated by fitting Eq. 11a to experimental data obtained during the initial part of the filtration. Moreover, the values determined for S increase linearly with  $(1 - \phi_i)/\phi_i$  (cf. Eq. 11b), and it is possible to calculate  $\alpha_{av}^{min}$ from the slope of the S vs.  $(1 - \phi_i)/\phi_i$  relationship using the following equation:

$$\alpha_{\rm av}^{\rm min} = \frac{p_{\rm L,\omega c}}{\mu \cdot {\rm Slope} \cdot \rho_{\rm s}}$$
 (20)

Furthermore,  $\phi_{\text{cake}}$  can be calculated using the following equation:

$$\frac{1 - \phi_{i}}{\phi_{i}} \to \frac{1 - \phi_{cake}}{\phi_{cake}} \quad \text{for} \quad S \to 0$$
 (21)

Thus,  $\alpha_{\rm av}^{\rm min}$  and  $\phi_{\rm cake}$  can be calculated from Eqs. 20 and 21, respectively. The average specific filter-cake resistance can then be calculated from the experimental data using Eqs. 17 and 18 fitted to the result, to determine  $\alpha_{av}^{max}$  and  $\tau'$ .

# Filtration model for filter cakes that creep

The filtrate volume can be calculated for both the filtration and the consolidation stages if the solid volume fraction and pressure distribution throughout the thickness of the filter cake are modeled numerically. This can be done using the modified Darcy's law-that is, Eq. 6, the mass balance for the liquid, and three constitutive equations: Eq. 14,  $\alpha(\phi)$ , and  $p_{\rm c}^{\rm eq}(\phi)$ . The mass balance for the liquid is given as

$$\frac{de}{dt} = \frac{dq}{d\omega} \tag{22}$$

where e is the void ratio defined as

$$e = \frac{1 - \phi}{\phi} \tag{23}$$

An equation for  $\alpha(\phi)$  can be derived by combining two constitutive equations obtained from Tiller and Leu: 17

$$\alpha = \alpha_0 \phi^m \tag{24}$$

where  $\alpha_0$  (m/kg) and m are empirical constants. The value of m has been calculated to be  $5 \pm 2$  (standard deviation) by using data from 20 different filtration experiments. These data were obtained from filtrations of attapulgite, 33 CaCO<sub>3</sub> with a mean diameter of 3.41  $\mu m$  and kaolin with a mean diameter of 2.22  $\mu$ m, <sup>19</sup> Hong Kong kaolin, kaolin, Mierlo biosolid, activated sludge, water treatment residue, carbon iron grade E, <sup>18</sup> CaCO<sub>3</sub> with an average particle size of 5  $\mu$ m and CiSiO<sub>3</sub> with an average particle size of 10  $\mu$ m,<sup>29</sup> a mixture of 66.7% TiO<sub>2</sub> and 33.3% perlite, <sup>17</sup> industrial sludge, <sup>34</sup> and conditioned activated sludge. <sup>14</sup> Moreover, data from six experiments performed on CaCO<sub>3</sub> were included.<sup>35</sup>

An often used equation for calculating  $p_c^{eq}$  is the following expression: 17,26

$$p_{\rm c}^{\rm eq} = p_{\rm a} \left[ \left( \frac{\phi}{\phi_0} \right)^{1/\beta} - 1 \right] \tag{25}$$

where  $\beta$  and  $p_a$  are empirical constants and  $\phi_0$  is the solid volume fraction at zero effective pressure.

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The empirical constants in the constitutive equation must be known. The empirical constants in Eq. 25 are usually obtained during filtration experiments in which the filter cake is compressed until it stops compressing. 17,36 The effective pressure is assumed to be uniform throughout the filter cake and equal to the applied pressure as well as to  $p_c^{\text{eq}}$ . Thus,  $\beta$ ,  $p_a$ , and  $\phi_0$  can be found by measuring the dry matter content of filter cakes compressed at different applied pressures and fitting Eq. 25 to the measured values. In this study,  $\phi_0$  is set slightly lower than the calculated  $\phi_{\rm cake}$ , after which  $\beta$  and  $p_{\rm a}$  are calculated by fitting Eq. 25 to the experimental data.

The empirical constants in Eq. 24 can be calculated from  $\alpha_{av}^{min}$  and  $\alpha_{av}^{max}$ , which are found by fitting Eq. 18 to experimental data, as already described. A function for  $\alpha_{av}^{min}$  and  $\alpha_{\rm av}^{\rm max}$  can be derived by substituting Eqs. 16 and 15, respectively, into Eqs. 7, 24, and 25 as follows:

$$\alpha_{\text{av}}^{\text{min}} = \frac{\alpha_0 p_{\text{L},\omega c} (1 - m\beta)}{\phi_0^{-m} \{ [p_{\text{a}} (1 + k) + p_{\text{s},\omega = 0}]^{-m\beta} [p_{\text{a}}^{m\beta+1} (1 + k)^{m\beta+1} + p_{\text{a}}^{m\beta} (1 + k)^{m\beta} p_{\text{s},\omega = 0}] - p_{\text{a}} (1 + k) \} }$$
(26)

and

$$\alpha_{\text{av}}^{\text{max}} = \frac{\alpha_0 p_{\text{L},\omega c} (1 - m\beta)}{\phi_0^{-m} \Big[ (p_{\text{a}} + p_{\text{s},\omega = 0})^{-m\beta} (p_{\text{a}}^{m\beta + 1} + p_{\text{a}}^{m\beta} p_{\text{s},\omega = 0}) - p_{\text{a}} \Big]}$$
(27)

Equations 26 and 27 give the relationship between the average specific filter-cake resistance and the empirical constants  $\alpha_0$  and m.

Finally, the proportionality factor k and the relaxation time  $\tau$  can be calculated from stress-relaxation experiments in which the effective pressure is measured at constant solid volume fraction. A filter cake is compressed instantaneously, after which the piston position is kept constant. The effective pressure is equal to the applied pressure if the liquid pressure is zero throughout the filter cake, and  $p_{\text{excess}}$  is equal to the difference between the applied pressure and  $p_c^{\text{eq}}$  if the compression is reversible.

Integrating Eq. 14 and setting  $p_{\text{excess}} = k\Delta p_{\text{c}}^{\text{eq}}$  immediately after the deformation step (t = 0) give

$$p_{\text{excess}}(t) = k\Delta p_{\text{c}}^{\text{eq}} \exp\left(-\frac{t}{\tau}\right)$$
 (28)

where  $\Delta p_{\rm c}^{\rm eq}$  (Pa) is defined as  $p_{\rm c}^{\rm eq}$  after the deformation, minus  $p_{\rm c}^{\rm eq}$  before the deformation. Furthermore, Eq. 29 is obtained by substituting Eq. 28 into Eq. 13 and setting  $p_{\rm s} = p_{\rm c}$ :

$$p_{\rm s} = p_{\rm c}^{\rm eq} + k\Delta p_{\rm c}^{\rm eq} \exp\left(-\frac{t}{\tau}\right) \tag{29}$$

Thus, the relaxation time  $\tau$  and proportionality factor k can be calculated by fitting Eq. 29 to data obtained from a stress-relaxation experiment.

A more general expression with more than one relaxation time can be used as well:

$$p_{\rm s} = p_{\rm c}^{\rm eq} + \Delta p_{\rm c}^{\rm eq} \sum_{\rm i=1}^{n} k_{\rm i} \exp\left(-\frac{t}{\tau_{\rm i}}\right)$$
 (30)

where  $k_i$  represents proportionality factors and  $\tau_i$  (s) relaxation times.

In this case, the time constant  $\tau_i$ , nearest to the duration of the filtration stage, is most relevant when modeling the filtration data. It might also be possible to substitute more than one relaxation time into Eq. 14, but this has been avoided here to keep the numerical model as simple as possible.

# **Experimental**

The filtration equipment was similar to that previously described as a filtration/expression cell with liquid pressure sensor. 37,38 It consisted of a piston, a filtration cylinder with an internal diameter of 50 mm, and a base with a No. 41 Whatman filter paper (Whatman, Maidstone, UK) and a porous plate. The 200-mL cylinder was made from a block of polyacetal (POM), and a V-ring seal of nitrile rubber was fitted onto a stainless steel piston. Pressure was delivered by a horizontal bar mounted between two spindles driven by a step motor, and the applied pressure was measured between the bar and the piston by a load cell (HBM strain Gaussian load cell; Hottinger Baldwin Messtechnik GmbH, Darmstadt, Germany). This setup allowed both constant pressure filtrations and stress relaxation experiments. In constant pressure experiments the step motor made single steps until the applied pressure matched the desired pressure. The applied pressure varied within ±1 kPa of the set pressure during the experiments. In stress relaxation experiments the step motor made single steps until a given deformation of the filter cake was obtained, after which the piston position was kept constant. The piston position was measured by a rotary encoder and the maximum speed of the piston was measured to 2.33 mm/s. Connection to the liquid pressure transducer (Keller type PR21; Keller AG, Winterthur, Switzerland) was made through the interior of the piston. The applied pressure, the position of the piston, and the liquid pressure at the sample-piston interface were monitored throughout the filtration process, and the filtrate volume per cross-sectional area  $(v_f)$ was calculated from the measured piston position.

All experiments were performed using waste-activated sludge colleted from Aalborg East WWTP (Aalborg, Denmark). This plant was designed for carbon removal, nitrification, denitrification, as well as chemical and biological phosphorus removal from domestic wastewater to an amount of 100,000 PE (person equivalent). The sludge age was 20-30 days. The collected samples were thickened for nearly 24 h at 5°C, and then decanted; the conductivity was measured to be 0.7-1.0 mS/cm and the pH to be 7.

The following filtration experiments were performed:

- (1) Experiment I. Five sludge samples (I.A–I.E) of varying dry matter concentrations were filtered at 100 kPa. Data for the samples are shown in Table 1.
- (2) Experiment II. A 100-mL sample of 16.4 g/L wasteactivated sludge was filtered at 100 kPa, and the applied

Table 1. Data for Experiments I.A to I.E

Sample	Dry Matter Concentration (g/L)	Volume (mL)
I.A	6	180
I.B	19	240
I.C	22	51
I.D	24	140
I.E	28	35

pressure was increased from 100 to 200 kPa when the measured liquid pressure at the sample-piston interface was <20 kPa. The procedure was repeated, that is, the pressure was increased from 200 to 300 kPa when the liquid pressure again was <20 kPa, then from 300 to 400 kPa, and finally from 400 to 500 kPa. It was observed that one or two drops of water were squeezed out of the filter cake after each pressure step.

- (3) Experiment III. A 200-mL sample of 8.7 g/L waste-activated sludge was filtered and consolidated at 100 kPa for 70 h. The filter cake was then compressed by 0.49 mm for 10 s, after which the piston position was kept constant. The liquid pressure and the applied pressure were measured for the next 25 h. The applied pressure increased abruptly immediately after the compression and was then observed to decline over time. One or two drops of water were squeezed out of the filter cake after the deformation step.
- (4) Experiment IV. Demineralized water was filtered through one, two, three, four, and eight stacked filter papers at 100 kPa, and the data collected were used to calculate the medium resistance. In addition, filter papers were carefully removed from the filter cakes after sludge filtration. Two experiments were performed in which demineralized water was filtered through one and two stacked used filter papers at 50 kPa. The data collected were used to calculate the medium resistance for the filter papers after the sludge filtration tests.

We checked that the pipe connecting the piston to the liquid pressure transducer was filled with liquid at the end of each experiment. Furthermore, the dry matter content of the sludge filter cakes was calculated by measuring the difference between the wet weight and the dry weight of the filter cake. All filter cakes were dried overnight at  $110^{\circ}$ C.

## Numerical modeling

The filtration process was modeled numerically using the finite-difference method. The filtration cylinder was divided into 50 segments, each containing an equal mass of dry matter.  $p_{\rm c}^{\rm eq}$ ,  $p_{\rm excess}$ ,  $p_{\rm s}$ , and  $\alpha$  were calculated for each segment.  $p_{\rm excess}$ ,  $p_{\rm c}^{\rm eq}$ , and  $\alpha$  were calculated by using Eqs. 31, 25, and 24, respectively;  $p_{\rm s}$  was calculated by using Eq. 13 and setting  $p_{\rm s} = p_{\rm c}$ .

$$p_{\text{excess,j+1}} = p_{\text{excess,j}} + \left( k \frac{p_{\text{c,j+1}}^{\text{eq}} - p_{\text{c,j}}^{\text{eq}}}{\Delta t} - \frac{p_{\text{excess,j}}}{\tau} \right) \Delta t \quad (31)$$

where the superscript j denotes time,  $\Delta t$  (s) is the time step, and  $p_{\rm excess,0}$  was set to zero.

The flux out of the each segment was calculated by using the following expression:

$$q_{\rm i} = -\frac{1}{\mu \alpha_{\rm i}} \frac{p_{\rm s,i+1} - p_{\rm s,i}}{\Delta \omega} \tag{32}$$

where  $\Delta\omega$  is the dry matter content in one segment per filter medium area. The segments were numbered from the piston (i = 1) to the filter medium (i = 50). The flux through the filter medium, that is  $q_{50}$ , was calculated by setting  $p_{\mathrm{s,i+1}}=0$  and  $\Delta\omega$  to half the dry matter content in one segment divided by the filter media area.

The solid volume fraction were then determined by using

$$\frac{1}{\phi_{i,j+1}} = \frac{1}{\phi_{i,j}} + \frac{(q_{i-1,j} - q_{i,j})\Delta t \rho_s}{\Delta \omega A}$$
 (33)

and  $\phi_{0,j}$  was calculated by setting  $q_{i-1,j} = 0$ .

This procedure was repeated until the liquid pressure at the segment nearest the piston  $(p_{L,50})$  was <1% of the applied pressure (Figure 3).

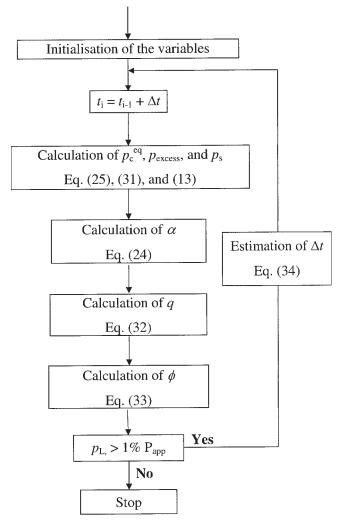


Figure 3. Procedure used to simulate filtrations numerically.

The time step  $\Delta t$  was set to  $1 \times 10^{-9}$  s before the first run and was then calculated after each run using the following equation, which was done to minimize the duration of the simulation and avoid numerical instability:

$$\Delta t = 5 \times 10^{-6} \frac{\Delta \omega}{\rho_s \phi_{\omega=0} q_{\omega=0}}$$
 (34)

where  $\Delta\omega$  (kg/m<sup>2</sup>) is the mass of dry matter per square meter in a single segment, and  $\phi_{\omega}=0$  and  $q_{\omega}=0$  (m/s) are the solid volume fraction and the superficial liquid velocity, respectively, in the section nearest the filter medium.

The filtration model was fitted to experimental data (from experiment I.A) and  $\tau$  was adjusted to minimize root mean squared error (RMSE), which was calculated using the following expression:

RMSE = 
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - y_{\text{est},i})^2}$$
 (35)

where n is the number of measurements,  $y_i$  is the measured value, and  $y_{est,i}$  is the estimated value.

The filtration model and the input parameters were afterward used to simulate the filtration data for the four other filtration experiments (experiments I.B–I.E). The RSME was calculated for all experiments.

#### Results

## Medium resistance

The medium resistance was calculated because the medium can influence the course of filtration if the medium resistance is of the same order of magnitude as the total filtercake resistance. Demineralized water was filtered through both clean and used filter papers (experiment I.E), and it was observed that the filtrate flux remained constant ( $\approx 0.01$  m/s) for all experiments even when the filter papers were stacked. Thus, the medium resistance was not rate-limiting for the process and it was possible to calculate a maximum value for only the medium resistance. The medium resistance of the clean filter papers was  $<1 \times 10^9 \text{ m}^{-1}$  and the resistance of the used filter papers was  $<5 \times 10^9$  m<sup>-1</sup>. In comparison, the filtrate flux for experiment I.A was estimated to be 0.0003 m/s after 8 s and the total resistance was estimated to be  $3 \times 10^{10} \text{ m}^{-1}$ . Thus, the medium resistance was <17% of the total resistance after just 8 s. Given these results, the medium resistance could be safely ignored when studying sludge filter cakes.

# Average specific filter-cake resistance (no creep)

A series of experiments was performed to study the filtration properties of sludge and Eq. 12 was fitted to the data using the least-squares method. Figure 4 shows the filtrate volume  $\nu_f$  as a function of filtration time for experiment I.A. S was estimated to be  $8.0\times10^{-7}~\text{m}^2/\text{s}$  and  $\alpha_{av}$  calculated to be  $1.3\times10^{13}$  m/kg. However, the calculated values of  $\nu_f$  were lower than the measured values during the initial part of the filtration stage and higher during the final part. Thus, we concluded that Eq. 12 does not adequately fit the experimental data and thus the data were further analyzed.

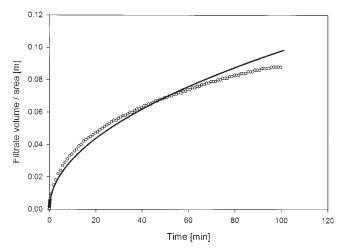


Figure 4. Filtrate volume/area as function of filtration time (experiment I.A).

Equation 12 was fitted to the experimental data using the least-squares method (solid line).

# Average specific filter-cake resistance (with creep)

Figure 5a shows the inverse filtrate flux  $(q_f^{-1})$  and liquid pressure as functions of  $v_f$  (experiment I.A). Moreover, values for  $q_f^{-1}$  were calculated as a function of  $v_f$  (solid line). It was observed that the calculated values satisfactorily fitted the experimental data until  $v_{\rm f}=0.084$  m. Furthermore, it was assumed that the deviation between the calculated and the measured values for  $v_{\rm f} > 0.084$  m was attributed to the fact that the experiment had entered the consolidation stage. The transition point is usually determined as the point where the linearity between  $q_f^{-1}$  and  $v_f$  ceases.<sup>40</sup> Nevertheless, it was not possible to use this method when filtering waste-activated sludge because  $q_{\rm f}^{-1}$  increased nonlinearly with  $v_{\rm f}$  during the filtration stage. Instead, measurements of the liquid pressure at the sample-piston interface can be used to give an estimate of the filtrate volume at the transition point.<sup>41</sup> The piston touches the filter cake during the consolidation and a gradually increasing part of the piston pressure is transferred directly to the filter cake structure. Thus, the liquid pressure at the sample-piston interface starts to decrease at the transition between filtration and consolidation. The liquid pressure started to decrease rapidly when  $v_f \approx 0.087$  m, which corresponds well with the expected filtrate volume at the transition point. A problem with this method was a liquid pressure that was lower than the applied pressure as a result of the friction between the piston and the cylinder. The friction between the piston and the cylinder was therefore calculated by using a modified apparatus where a membrane transducer was placed at the center of the piston in level with the piston head so it was possible to measure the pressure transferred directly from the piston to the sample. 42 The friction between the piston and the cylinder was calculated to roughly 15% and increased during the filtration experiment. The friction in this experiment was determined to 30% of the applied pressure. Furthermore, the liquid pressure decreased in the initial part of the filtration. This might be caused by the transition from dynamic friction to static friction on the friction surfaces between piston and the filtration cylinder.

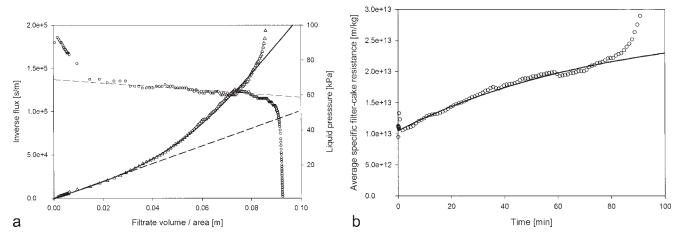


Figure 5. (a) Inverse flux (triangles) and liquid pressure (circles) as function of filtrate volume/area (experiment I.A); the solid line was obtained by calculating the inverse flux from Eqs. 11a, 11b, and 18 and the filtrate volume/area from Eq. 19; the Runge-Kutta Method of Order 4 was used to calculate the filtrate volume/area; the inverse flux increases almost linearly during the initial part of the experiment as shown by the dashed line; (b) the average specific filter-cake resistance as a function of filtration time.

The experimental values (open triangles) were calculated by using Eq. 17 and the solid line was found by using Eq. 18 and setting  $\alpha_{av}^{min} = 1.1 \times 10^{13}$  m/kg,  $\alpha_{av}^{max} = 2.8 \times 10^{13}$  m/kg, and  $\tau' = 1.3$  h.

Figure 5b shows the average specific filter-cake resistance as a function of time. The experimental values (open triangles) were calculated by using Eq. 17 and the solid line was found by using Eq. 18 and setting  $\alpha_{\rm av}^{\rm min}=1.1\times10^{13}$  m/kg,  $\alpha_{\rm av}^{\rm max}=2.8\times10^{13}$  m/kg, and  $\tau'=1.3$  h. It is evident that the average specific filter-cake resistance increased over the course of the filtration stage.

Figure 6a shows the results for  $q_f^{-1}$  as a function of  $v_f$  from five experiments (experiments I.A–I.E). The value of  $q_f^{-1}$  increased almost linearly with  $v_f$  during the initial part of the filtration stage. Straight lines were fitted to data obtained during the initial part of the experiments. Values for S were calculated for each experiment by using Eq. 11a and the values for S were plotted as a function of  $\phi_i/(1-\phi_i)$ 

in Figure 6b. A linear relationship between S and  $\phi_i/(1-\phi_i)$  was observed ( $r^2=0.999$ ). The value of  $\alpha_{\rm av}^{\rm min}$  was calculated to be  $1.07\pm0.02\times10^{13}$  m/kg and of  $\phi_{\rm cake}$  to be  $0.05\pm0.01$ . The remaining constants were found by fitting Eq. 18 to the experimental data obtained during the filtration stage. Equation 18 adequately fitted the experimental data up to the transition point, and we found that  $\alpha_{\rm av}^{\rm max}=2.8\times10^{13}$  m/kg and  $\tau'=1.3$  h for experiment I.A (Figure 5b). Thus, according to the proposed creep model the average specific filter-cake resistance more than doubled during the filtration stage. Moreover, the calculated values for the average specific filter-cake resistance were similar to those previously found for waste-activated sludge filtered at  $100~{\rm kPa.}^{43}$ 

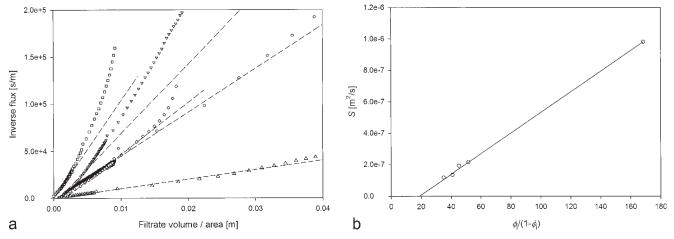


Figure 6. (a) Inverse flux as a function of filtrate volume/area for experiment I.A (triangles), experiment I.B (circles), experiment I.C (diamonds), experiment I.D (inverted triangles), and experiment I.E (squares); straight lines were fitted to data obtained during the initial part of the experiments and the slopes (S<sup>-1</sup>) calculated; (b) S as a function of the solid volume fraction in the feed suspension.

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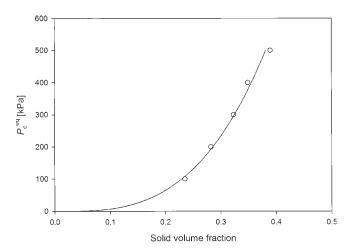


Figure 7. Equilibrium relationship between effective pressure and solid volume fraction.

The experimental data (squares) were obtained from a stepped pressure filtration (Experiment II) and Eq. 25 fitted to the experimental data (solid line).

# Filtration model for filter cakes that creep

A filtration model was developed to simulate the filtrate data obtained during both the filtration and consolidation stages. The measured input parameters and simulation results will be described in the following sections.

Figure 7 shows  $p_c^{\rm eq}$  vs.  $\phi$  data obtained from the stepped pressure experiment (experiment II). Equation 25 was fitted to the experimental data and the empirical constants  $\beta$  and  $p_a$  were calculated. It was not possible to estimate  $\phi_0$  by fitting the equation to the experimental data because of problems in extrapolating the curve to zero pressure and because of the strong correlation between  $\phi_0$  and  $\beta$ . Nevertheless,  $\phi_0 \le \phi_{\rm cake} = 0.05$ , and the empirical constants shown in Table 2 were found if  $\phi_0$  was set to 0.04. Furthermore,  $\phi_0$ ,  $\beta$ , and  $p_a$  were comparable to the empirical constants found for activated sludge.<sup>33</sup>

Figure 8 presents data from the stress-relaxation experiment (experiment III). The liquid pressure at the sample-piston interface was zero after 10 s of compression at elevated pressure and during the stress-relaxation experiment. Thus, the effective pressure was uniform throughout the filter cake, assuming that the friction between the filter cake and the filtration cylinder was negligible. It was assumed that the effective pressure was equal to the applied pressure, that is, the friction loss between the piston and the filtration

Table 2. List of Input Parameters Used in the Filtration Model

Input Parameter	Value	
$\phi_0$	0.04	
eta	0.32	
$p_{\mathrm{a}}$	0.40 kPa	
k	2.336	
τ	0.4 h	
$\alpha_0$	$2.5 \times 10^{18} \text{ m/kg}$	
m	5	

cylinder was ignored. Equation 30 was fitted to the experimental data setting i=2, whereby  $\Delta p_{\rm c}^{\rm eq}$  was calculated to be 130 kPa,  $k_1$  to be 2.3,  $k_2$  to be 0.86,  $\tau_1$  to be 0.54 h, and  $\tau_2$  to be 7.3 h. The friction loss does not influence the calculated values for  $\Delta p_{\rm c}^{\rm eq}$ ,  $k_{\rm i}$ , and  $\tau_{\rm i}$  if the friction loss was constant during the experiment. It was not possible to obtain an acceptable fit to the experimental data if i=1 (results not shown). Thus, at least two relaxation times were needed to describe the whole course of the stress-relaxation experiment;  $\tau_1$  was the relaxation time closest in magnitude to the duration of the filtration stage (experiments I.A–I.E) and was therefore used in the filtration model, whereby  $\tau=0.54$  h and k=2.3.

Now, the only remaining unknown input parameters of the filtration model are  $\alpha_0$  and m in Eq. 24. The value of  $\alpha_0$  can be estimated from the minimum average specific filter-cake resistance and Eq. 26, by substituting different values of m into Eq. 26. Some test simulations were performed using values of m ranging from 4 to 6.5 and corresponding values of  $\alpha_0$ . The simulation result was not sensitive to the chosen value of m in the studied range. Thus, m was set to 5 and  $\alpha_0$  was calculated to be  $2.5 \times 10^{18}$  m/kg. These values were close to those previously found for activated sludge, <sup>33</sup> where  $\alpha_0$  was calculated to be  $3.84 \times 10^{18}$  m/kg and m was calculated to be 5.4 if the density of the solid material was set to  $1000 \text{ kg/m}^3$ .

The input parameters were inserted into the filtration model and the filtration data for experiment I.A simulated by setting the pressure to 70 kPa, that is, the applied pressure (100 kPa) minus the estimated friction loss (30 kPa) between the piston and the filtration cylinder. The input parameters are shown in Table 2 and the simulation results are shown in Figures 9a and 9b. The value of  $\tau$  was changed slightly to improve the results, and RMSE was calculated to be 0.0024 m when the simulated and measured values of  $\nu_{\rm f}$  were compared. This was  $<\!3\%$  of the total sample volume/area. The filtration data obtained from experiments I.B–I.E were also simulated, using the input parameters presented in

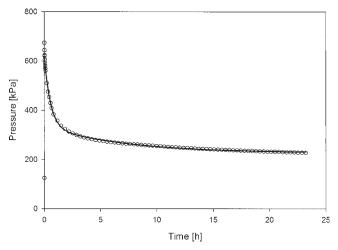


Figure 8. Stress-relaxation experiment (experiment III).

The applied pressure (squares) is shown as a function of time. Equation 30 was fitted to the experimental data setting i = 2 (solid line) by using the least-squares method.

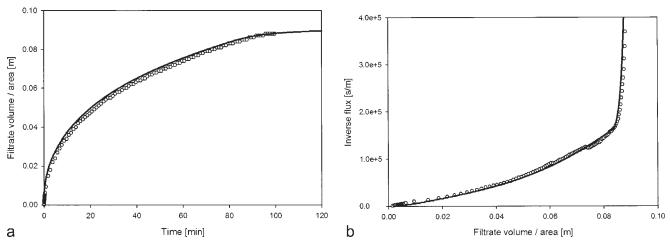


Figure 9. (a) Filtrate volume/area as function of time (experiment I.A). (b) Inverse flux as function of filtrate volume/area (experiment I.A).

Both the experimental data (triangles) and the simulation result (solid line) are shown in the figure.

Table 2. The RMSE ranges from 3 to 6% of the sample volume/area for the other experiments.

#### **Discussion**

Filtrate volume increases proportionally with the square root of the filtration time during the filtration stage, if filter-cake thickness increases proportionally with filtrate volume and the average specific filter-cake resistance is constant, as in Eq. 12. Nevertheless, such behavior is not observed when filtering activated sludge (Figure 4). Thus, one or both of the assumptions are not fulfilled, which might be attributable to sedimentation, filter-cake blinding, an incorrect determination of the transition between filtration and consolidation, and/or creep. 10,11 There might be other explanations.

Filter-cake thickness does not increase proportionally with filtrate volume if the filter cake is formed by both sedimentation and filtration because the amount of solid deposit per volume of filtrate is high in the initial part of the filtration stage and low afterward. However, sedimentation results in an almost constant value of  $q_{\rm f}^{-1}$  at the end of the filtration stage, <sup>44</sup> which has not been observed when filtering sludge. We thus conclude that sedimentation is not the primary reason for the nonlinear relationship between  $q_{\rm f}^{-1}$  and filtrate volume.

Filter-cake thickness is constant and average specific filter-cake resistance increases when the experiment enters the consolidation stage. Nevertheless, for all experiments a rapid increase of the average specific filter-cake resistance was observed at the end of the experiment (Figure 5b). Moreover, this abrupt increase coincided well with a rapidly decreasing liquid pressure at the sample–piston interface. The only explanation we can find for the observed abrupt increase of the average specific filter-cake resistance and decrease of the liquid pressure is that the experiments entered the consolidation stage. Furthermore, the nonlinear relationship between  $q_f^{-1}$  and  $v_f$  was observed before this abrupt change of average specific filter-cake resistance and liquid pressure. We therefore conclude that the curvature of the  $q_f^{-1}$  vs.  $v_f$  plot did not

arise from an incorrect determination of the transition between filtration and consolidation.

The average specific filter-cake resistance increases with time if pores in the filter cake are blocked (filter-cake blinding) or if the filter cake creeps. It is difficult to distinguish between blinding and creep solely on the basis of the  $q_f^{-1}$  vs.  $v_{\rm f}$  relationship existing in the filtration stage, although filtercake creep has been observed in the consolidation stage, when blinding effects are negligible. Moreover, a stressrelaxation experiment showed that at least two relaxation times are necessary to fully characterize the relaxation process (Figure 8). The relaxation times determined have the same order of magnitude as the duration of the filtration stage and are similar in magnitude to the relaxation times found for long-chained polymers. 49 Thus, it has been speculated that the relaxation process is a result of the rearrangement of extracellular polymer substances (EPS) in the sludge flocs. However, filtration of other better-defined materials is necessary if we are to obtain a better physicochemical understanding of the relaxation process. Based on these observations, we conclude that the sludge filter cake creeps during the filtration stage, thus causing the average specific filter cake to increase.

Equation 17 has been used to calculate the average specific filter-cake resistance and Eq. 18 is fitted to the obtained result. Equation 18 fits the experimental data well until the filtration process enters the consolidation stage (Figure 5b), and we found that the average specific filter-cake resistance more than doubled during the filtration stage.

A simple new filtration model has been developed by adopting the concept of filter cake creep and applying it in a conventional filtration model (Figures 9a and 9b) by introducing Eqs. 13 and 14. The simulations result in convex curves when  $q_{\rm f}^{-1}$  is plotted as a function of filtrate volume and the model adequately describes the filtration data for experiment I.A (Figure 5a). The same input parameters were used to simulate four other experiments with varying feed concentrations and volumes. The RMSE was <6% of the sample volume/area in all experiments.

This result was obtained despite the great complexity of waste-activated sludge and the simplicity of the suggested filtration model.

#### Conclusion

A simple mathematical filtration model has been presented, in which the concept of filter-cake creep is applied in a conventional filtration model. Most of the input parameters for the new filtration model can be determined in the same way as for the conventional filtration model, whereas the remaining input parameters can be found by using a stress-relaxation experiment. Waste-activated sludge has been filtrated and—unlike the conventional filtration models—the new model satisfactorily fit the experimental data.

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#### Notation

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A = filter medium area, m<sup>2</sup>
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e = void ratio

 $F_{\rm s}$  = accumulated frictional drag, N

k =proportionality factor

m = empirical constant

 $p_{\rm a}=$  empirical constant, Pa

 $P_{\rm app} =$ applied pressure, Pa

 $p_c$  = pressure needed to compress filter cake, Pa  $p_c^{\rm eq}$  = pressure needed to compress filter cake in the equilibrium state, Pa  $\Delta p_{\rm c}^{\rm eq} =$  difference between the equilibrium pressure before and after a deformation step. Pa

 $P_{\rm excess} = {
m difference}$  between the actual pressure needed to compress the filter cake and the pressure needed to compress the filter cake in the equilibrium state. Pa

 $p_s^{\min}$  = minimum effective pressure at a given solid volume fraction, Pa  $p_s^{max}$  = maximum effective pressure at a given solid volume fraction, Pa  $p_{\rm L}=$  liquid pressure, Pa

 $p_{\mathrm{L},\omega\mathrm{c}}=\mathrm{liquid}$  pressure at the piston/sample interface, Pa

 $p_{\rm s}=$  effective pressure, Pa

 $p_{s,\omega=0}=$  effective pressure at the filter cake/filter medium interface, Pa

q = superficial velocity of the liquid relative to the solid particles, m s<sup>-1</sup>

 $q_{\rm f} = {\rm filtrate\ flux,\ m\ s}^{-}$ 

 $S = \text{defined in Eq. 11b, m}^2 \text{ s}^{-1}$ 

t = filtration time. s

 $v_{\rm f}=$  filtrate volume per filter medium area, m

x =position measured from the filter medium, m

# Greek letters

 $\alpha$  = local specific filter-cake resistance, m kg<sup>-1</sup>

 $\alpha_0 = \text{empirical constant}, \text{ m kg}^{-1}$ 

 $\alpha_{\rm av} = {\rm average}$  specific filter-cake resistance, m kg $^{-1}$   $\alpha_{\rm av}^{\rm min} = {\rm minimum}$  average specific filter-cake resistance, m kg $^{-1}$  $\alpha_{\rm av}^{\rm max} = {\rm maximum~average~specific~filter\text{-}cake~resistance,~m~kg^{-1}}$ 

 $\beta$  = empirical constant

 $\phi = \text{solid volume fraction}$ 

 $\phi_{\rm cake} = {
m average \ solid}$  volume fraction in the filter cake

 $\phi_{\rm i}=$  solid volume fraction in feed suspension  $\phi^{\rm min}=$  minimum solid volume fraction at a given effective pressure

 $\phi^{\text{max}} = \text{maximum solid volume fraction at a given effective pressure}$  $\phi_0$  = solid volume fraction at an effective pressure equal to zero

 $\mu = \text{viscosity of the liquid, Pa·s}$ 

 $\rho_{\rm s} =$  density of the solid material, kg m<sup>-3</sup>

 $\tau = \text{relaxation time, s}$ 

 $\tau' = \text{time constant, s}$ 

 $\omega$  = material coordinate measured from the filter medium, kg m<sup>-2</sup>

 $\omega_c$  = weight of filter cake per unit area, kg m

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